

Ref. No. _____

CKYC No. _____


Integrated
Master Securities Pvt Ltd

Regd. Office: 303, 3rd Floor, New Delhi House, 27, Barakhamba Road, New Delhi-110001

E-mail: ho@integratedmaster.com Website: www.integratedmaster.com

CIN: U74899DL1995PTC070418

Phones: 43074307 (30 Lines)

Important Instructions:

A) Fields marked with "*" are mandatory fields.

B) Please fill the form in English and in BLOCK letters.

C) Please fill the date in DD-MM-YYYY format.

D) Please read section wise detailed guidelines / instructions at the end.

E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.

F) List of two character ISO 3166 country codes is available at the end.

G) KYC number of applicant is mandatory for update application.

H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

**For office use only**

Application Type*

☐ New☐ Update

(To be filled by financial institution)

KYC Number

(Mandatory for KYC update request)

Account Type*

☐ Normal☐ Simplified (for low risk customers)☐ Small☐ **1. PERSONAL DETAILS** (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
☐ Name* (Same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				
Date of Birth*				
Gender*	☐ M- Male	☐ F- Female	☐ T-Transgender	
Marital Status*	☐ Married	☐ Unmarried	☐ Others	
Citizenship*	☐ IN- Indian	☐ Others (ISO 3166 Country Code)		
Residential Status*	☐ Resident Individual	☐ Non Resident Indian		
	☐ Foreign National	☐ Person of Indian Origin		
Occupation Type*	☐ S-Service (☐ Private Sector	☐ Public Sector	☐ Government Sector)	
	☐ O-Others (☐ Professional	☐ Self Employed	☐ Retired ☐ Housewife ☐ Student)	
	☐ B-Business			
	☐ X- Not Categorised			

PHOTO

 Signature / Thumb Impression

GROSS ANNUAL INCOME DETAILS:— Income Range per annual (please tick any one)☐ Below Rs. 1 Lac.☐ Rs. 1-5 Lac☐ Rs. 5-10 Lac☐ Rs. 10-25 Lac☐ More than Rs. 25 Lac☐ **2. TICK IF APPLICABLE** ☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth*

ISO 3166 Country Code of Birth*

☐ **3. PROOF OF IDENTITY (PoI)***(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)☐ A- Passport Number

Passport Expiry Date

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence

Driving Licence Expiry Date

☐ E- UID (Aadhaar)

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government)

Identification Number

☐ S- Simplified Measures Account - Document Type code

Identification Number

4. PROOF OF ADDRESS (PoA)*☐ **4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS**(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type*

☐ Residential / Business☐ Residential☐ Business☐ Registered Office☐ Unspecified

Proof of Address*

☐ Passport☐ Driving Licence☐ UID (Aadhaar)☐ Voter Identity Card☐ NREGA Job Card☐ Others☐ Simplified Measures Account - Document Type code

please specify

Address

Line 1*

Line 2

Line 3

District*

Pin / Post Code*

State / U.T Code*

ISO 3166 Country Code*

☐ 4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

☐ Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*
Line 2
Line 3 City / Town / Village*
District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

☐ 4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

☐ Same as Current / Permanent / Overseas Address details

☐ Same as Correspondence / Local Address details

Line 1*
Line 2
Line 3 City / Town / Village*
State* ZIP / Post Code* ISO 3166 Country Code*

☐ 5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID)

Tel. (Off) - Tel. (Res) - Mobile -
FAX - Email ID

☐ 6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1')

☐ Addition of Related Person

☐ Deletion of Related Person

KYC Number of Related Person (if available*)

Related Person Type*

☐ Guardian of Minor

☐ Assignee

☐ Authorized Representative

Prefix

First Name

Middle Name

Last Name

Name*

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON*

☐ A- Passport Number Passport Expiry Date --
☐ B- Voter ID Card
☐ C- PAN Card
☐ D- Driving Licence Driving Licence Expiry Date --
☐ E- UID (Aadhaar)
☐ F- NREGA Job Card
☐ Z- Others (any document notified by the central government) Identification Number
☐ S- Simplified Measures Account - Document Type code Identification Number

☐ 7. REMARKS (If any)

8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

[Signature / Thumb Impression]

- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : --

Place :

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received

☐ Certified Copies

IPV & KYC VERIFICATION CARRIED OUT BY

Date --
Emp. Name
Emp. Code
Emp. Designation
Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name Integrated Master Securities Private Limited

Code IN0065

[Institution Stamp]

Date

--	--	--	--	--	--	--	--

Client ID

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DEPOSITORY SERVICES

Account Opening Form

INDIVIDUAL / NRI / FOREIGN NATIONAL

NAME: INTEGRATED MASTER SECURITIES PVT. LTD.

Branch / Service Centre _____

Staff doing 'In person' Verification

Name : _____

Place : _____

BSDA Facility : Yes ☐ No ☐

Signature : _____

Date of in person Verification

1st Holder : _____

Signature of Applicant(s)

2nd Holder : _____

3rd Holder : _____



Integrated
Master Securities Pvt Ltd

INTEGRATED MASTER SECURITIES PVT. LTD.

DP IN No. : IN300724

SEBI REG. No. : IN-DP-NSDL-74-98

Regd. Off. : 303, 3rd Floor, New Delhi House, 27, Barakhamba Road, New Delhi - 110001.

Phones: 011-43074307 (30 lines), Fax: 011-43074315

Email: dp@integratedmaster.com, Website: www.integratedmaster.com

CIN : U74899DL1995PTC070418

DEMAT ACCOUNT OPENING REQUIREMENTS

(Please read carefully before filling the form)

FOR INDIVIDUAL

NOTES :

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impressions and signature other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. As per NSDL Circular No. NSDL/PI/2004/1622 dated September 7, 2004 pursuant to SEBI Circular No. MRD/DoP/Dep/Cir 29/2004 dated August 24, 2004 a copy of any one of the following documents may be accepted as proof of identity/proof of address (local/ correspondence/ foreign address as the case may be):
 - (a) **PROOF OF IDENTITY** : Aadhar Card, Passport, Voter ID Card, Driving license, PAN card with photograph, MAPIN card, Identity card/document with applicant's Photo, issued by a) Central/State Government and its Departments, b) Statutory/Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions, f) Colleges affiliated to Universities, g) Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and h) Credit cards/Debit cards issued by Banks.
 - (b) **PROOF OF ADDRESS** : Aadhar Card, Ration Card, Passport, Voter ID Card, Driving license, Bank passbook, verified copies of Electricity bills (not more than two months old) / Residence Telephone bills (not more than two months old)/ Leave and License agreement / Agreement for sale, Self-declaration by High Court & Supreme Court judges, giving the new address in respect of their own accounts, Identity card/document with address, issued by a) Central/State Government and its Departments, b) Statutory/Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions, f) Colleges affiliated to universities; and g) Professional Bodies such as ICAI, ICWAI, Bar Council etc., to their Members.
 - (c) As per NSDL circular No. NSDL/POLICY/2006/2007 dated March 3, 2006. PAN has become compulsory for all categories of Demat A/c holder. Please submit a photocopy of your PAN CARD.
 - (d) Copy of cancelled cheque of the mentioned bank account.

Participants must verify the copy of the document with the original.

4. Instructions related to nomination, are as below:
 - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
 - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
 - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
 - V. Transfer of securities in favor of a Nominee shall be valid discharge by the depository against the legal heir.
 - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
 - VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favor of the Nominee.
5. Strike off whichever is not applicable.

NOTE:

1. Please fill the information in CAPITAL LETTERS.
2. Only Self attested and clear photocopy of all documents will be acceptable.
3. Name, Address & Signature of the witness are compulsory. (Please note that 2 witnesses are required to sign on behalf of the client in case of nomination).
4. All documents collected will be verified with the original and the client will have to self attest the photocopies.
5. Standing instruction may be marked as 'yes' for simplified operations.
6. No nomination to be recorded in case of HUF and Corporate A/C.
7. Thumb impression & signature other than English or Hindi or any the languages not contained in the 8th Scheduled of Constitution of Indian must be attested by Magistrate / Notary Public /Special Executive Magistrate under his/her official seal.



Integrated
Master Securities Pvt Ltd

(Depository Participant - NSDL) CIN : U74899DL1995PTC070418
Regd. Off. : 303, 3rd Floor, New Delhi House, 27, Barakhamba Road, New Delhi - 110001.
Phones: 011-43074307 (30 lines), Fax: 011-43074315

PART I-KNOW YOUR CLIENT (KYC) APPLICATION FORM (FOR INDIVIDUALS)

Participant Name (DP ID : IN300724)	Client-ID (To be filled by Participant)
INTEGRATED MASTER SECURITIES PRIVATE LIMITED	
I/We request you to open a depository account in my/our name as per the following details: (Please fill all the details in CAPITAL LETTERS only)	Date

A) Details of Account Holder(s):	Sole / First Holder	Second Holder	Third Holder
Name of Account Holder :			
Residence Address :			
City/Town/Village :			
State :			
Country :			
Pin Code :			
Correspondence Address :			
Phone :			
Mobile :			
Email :			
UID :			

PAN		PAN		PAN	
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Occupation (please tick any one and give brief details)	☐ Private Sector	☐ Agriculturist	☐ Private Sector	☐ Agriculturist	☐ Private Sector	☐ Agriculturist
	☐ Public Sector	☐ Retired	☐ Public Sector	☐ Retired	☐ Public Sector	☐ Retired
	☐ Govt. Service	☐ Housewife	☐ Govt. Service	☐ Housewife	☐ Govt. Service	☐ Housewife
	☐ Business	☐ Student	☐ Business	☐ Student	☐ Business	☐ Student
	☐ Professional	☐ Other (Please Specify)	☐ Professional	☐ Other (Please Specify)	☐ Professional	☐ Others Please Specify
Brief Details						

B)	For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:
a) Name	b) PAN

C)	Type of Account
	☐ Ordinary Resident ☐ Qualified Foreign Investor ☐ Margin ☐ NRI-Repatriable ☐ Foreign National ☐ Others (Please specify) ☐ NRI-Non Repatriable ☐ Promoter

D)	Gross Annual Income Details
	Income Range per annual (please tick any one)
	☐ Below Rs. 1 Lac ☐ Rs. 10-25 Lac ☐ Rs. 1-5 Lac ☐ More than Rs. 25 Lac ☐ Rs. 5-10 Lac

Please attach recent passport size photographs in the space provided below:

Sole/First Holder	Second Holder	Third Holder
Photograph	Photograph	Photograph
Signature :	Signature :	Signature :

E) In Case of NRI/s Foreign Nationals									
RBI Approval Reference Number									
RBI Approval Date									

F) Bank Details									
1	Bank Account Type	☐ Saving Account ☐ Current Account ☐ Other (Please Specify _____)							
2	Bank Account Number								
3	Bank Name								
4	Branch Address								
		Citi/Town/Village		PIN Code					
		State		Country					
5	MICR Code								6. IFSC Code :

G)	Please tick, if applicable	☐ Politically Exposed Person (PEP)	☐ Related to a Politically Exposed Person (PEP)
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H) Standing Instructions				
1	I/We authorise you to receive credits automatically into my/our account	☐ Yes ☐ No		
2	Account to be operated through Power of Attorney (PoA)	☐ Yes ☐ No		
3	SMS Alert facility (Mandatory if you are giving Power of Attorney (PoA) Ensure that the mobile Number received in the KYC Application Form)			
	Sr. No.	Holder	Yes	No
	1	Sole/First Holder	☐	☐
	2	Second Holder	☐	☐
	3	Third Holder	☐	☐
4	Mode of receiving Statement of Account (Tick any one)	☐ Physical Form ☐ Electronic Form (Read Note 4 and ensure that email ID is provided in KYC Application Form)		

I) Guardian Details (where sole holder is a minor) : [For account of a minor, two KYC Application form must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]									
Guardian Name									
PAN									
Relationship of guardian with minor									

DECLARATION

The rules and regulations of the Depository and Participants Pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/hour knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant". I, the holder of the above stated Aadhaar number, hereby give my consent to Integrated Master Securities (P) Limited to obtain my Aadhaar Number for authentication with UIDAI. Integrated Master Securities (P) Limited has informed me that my identity information would only be used for demographic authentication/validation/e-KYC purpose and also informed that my biometric will not be stored/shared and will be submitted to CIDR only for the purpose of authentication.

Name(s) of holder(s)	Signature(s) of Holder(s)
Sole / First Holder / Guardian (in case sole holder is minor) (Mr./Ms.)	
Second Holder (Mr./Ms.)	
Third Holder (Mr./Ms.)	

Notes : (1) All communication shall be sent at the address of the Sole/First holder only. (2) Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate. (3) Instructions related to nomination, are as below: (I) The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form. (II) A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner. (III) The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time. (IV) Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities. (V) Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the participant against the legal heir. (VI) The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form. (VII) On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee. (4) For Receiving statement of Account in electronic form: (I) Client must ensure the confidentiality of the password of the email account. (II) Client must promptly inform the Participant if the email address has changed, (III) Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice. (5) Strike off whichever is not applicable.

SCHEDULE OF CHARGES

DP-ID : IN300724 - DEPOSITORY SERVICES

1.	Advance / Deposit	NIL
2.	Account Maintenance	Rs. 325/- for Individuals Rs. 1,500/- for Corporate
3.	Demat	Rs. 25/- Request + Rs. 5/- per certificate
4.	Remat	Rs. 25/- per 100 Securities or Part quantity or Rs. 25/- per Certificate whichever is higher
5.	Transaction (Debit)	0.02% (Subject to minimum Rs. 17/-)
6.	Margin Pledge & Pledge	0.02% (Subject to minimum Rs. 100/- per ISIN)
7.	Pledge Creation Confirmation	N.A.
8.	Margin Pledge & Pledge Closer	0.02% (Subject to minimum Rs. 100/- per ISIN)
9.	Pledge Closure Confirmation	N.A.
10.	Pledge Invocation	0.02% (Subject to minimum Rs. 100/- per ISIN)
11.	DIS & Failed Instruction Charges	Rs. 17/- per Instruction
12.	DIS Booklet Charges	Rs.20/- (First DIS Booklet at the time of Account Opening: No Charges)
13.	Other Charges	Rs. 100/- per Instruction: Change in Nomination/Address/Bank Particulars/Transmission
14.	BSDA Charges	Account Opening Annual Maintenance Fee (If the value of Holdings does not exceed Rs.50,000 at any point of time) Rs. 100/- (If the value of Holding is between Rs. 50,001 to Rs. 2,00,000) Debit Transaction Rs. 25/- or 0.02% per case, whichever is higher

Out of Pocket Expenses: ☐ Goods and Service Tax (GST) : As Per Govt. Notified rate. ☐ As per NSDL rules, the clients are required to submit the delivery instruction slips before 24 hour of the execution date. In case of delay, the delivery instruction slips will be accepted at clients' sole risk and will be charged extra @ Rs. 20/- per delivery instruction in addition to transaction charges. ☐ In case of foreign correspondence address, in addition to annual account maintenance charges, statement/communication charges @ Rs. 50/- per mail shall be charged extra. ☐ In case of non-payment of bill/dues within 30 days of due date interest shall be charged @ 2% percent per month. ☐ For Corporate Accounts, AMC. Rs.1500/- P.A. will be charge.

Signature of the Applicant(s)

Sole/First _____

Second _____

Third _____

For Office Use

Client ID _____

RIGHTS AND OBLIGATIONS OF BENEFICIAL OWNER AND DEPOSITORY PARTICIPANT AS PRESCRIBED BY SEBI AND DEPOSITORIES

GENERAL CLAUSE

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/ Notifications / Guidelines issued there under, Bye Laws and Business Rules/ Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

BENEFICIAL OWNER INFORMATION

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

FEES/ CHARGES/TARIFF

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts."
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/ notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

DEMATERIALIZATION

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

SEPARATE ACCOUNTS

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and/or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

TRANSFER OF SECURITIES

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his Demat Account and the DP shall act according to such instructions.

STATEMENT OF ACCOUNT

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of Demat Accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of Demat Accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

MANNER OF CLOSURE OF DEMAT ACCOUNT

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

DEFAULT IN PAYMENT OF CHARGES

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5 & 6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

LIABILITY OF THE DEPOSITORY

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

FREEZING/DEFREEZING OF ACCOUNTS

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

REDRESSAL OF INVESTOR GRIEVANCE

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

AUTHORIZED REPRESENTATIVE

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

LAW AND JURISDICTION

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.



Integrated
Master Securities Pvt Ltd

(Member: BSE, NSE, MSEI, MCX, Depository Participant of NSDL & CDSL)

Corporate Off.: 303, New Delhi House, 27, Barakhamba Road, New Delhi-110001

Phones: 011 43074307, CIN: U74899DL1995PTC070418

Website: www.integratedmaster.com; Email Id: dp@integratedmaster.com

UCC/DP ID	I	N						
Client ID (only for Demat account)								

Format for providing Nomination for Demat Account and MF Folios Mandatory Details

1. I / We wish to make nomination [as per details given below] (You can nominate upto 3 persons)

	Details	1st Nominee	2nd Nominee	3rd Nominee
1	Name of the nominee*			
2	Relationship with the Application			
3	Date of Birth (to be provided in case the nominee is a minor)			

2. I want the following nomination details to be printed in the account / holding statements (tick one of the boxes below):

☐ Name of the `(s)

☐ Whether nomination given: Yes / No (not name of the nominee)

1. Optional details of nomination:

	Details	1st Nominee	2nd Nominee	3rd Nominee
a)	% Share of nominee** (equal share if % is not specified)			
b)	Mobile of nominee*** (Please note that the DP / MF RTA will be able to reach out to your nominee if you provide the contact details)			
c)	Email ID of nominee*** (Please note that the DP / MF RTA will be able to reach out to your nominee if you provide the contact details)			
d)	Specify Personal identifier document • Aadhaar (last four digit), PAN, Driving Licence, Passport ***			

**Any odd lot after division shall be transferred to the first nominee mentioned in the form.
*** The aforesaid details shall be optionally provided for the Guardian, in case of nominee is a minor.

Mode of Operations for Joint Accounts

Jointly

Anyone of the holder or survivor(s)

Mode of receiving and Statement of Account [Tick any one]
Physical form/Electronic form

For Joint accounts,
communication to be
sent to (See Note 5)

First holder: ☐

All joint account: ☐

The stock broker / Stock broker and depository participant shall not directly / indirectly compel the clients to execute Power of Attorney (POA) or Demat Debit and Pledge Instructions (DDPI) or deny services to the client if the client refuses to execute POA or DDPI.

1. Standing Instructions

Yes / No

2. I/We authorise you to receive credits automatically into my/our account.

Yes / No

3 Account to be operated through Power of Attorney (POA)

Yes / No

4. Account to be operated through Demat Debit and Pledge Instruction (DDPI)

Yes / No

SMS Alert facility: [Mandatory if you are giving Power of Attorney (POA/DDPI). Ensure that the mobile number is provided in the KYC Application Form]

Sr. No.

Holder

Yes

No

1.

Sole/First holder

2.

Second holder

3.

Third holder

1. Signature:

Name(s) of holder(s)	Signature(s) of holder****
Sole / First Holder (Mr./Ms.)	
Second Holder (Mr./Ms.)	
Third Holder (Mr./Ms.)	

****If nomination is submitted:

- **Online:** validate through Digital Signature Certificate or Aadhaar-based e-sign or by using any other e-sign facility recognized under Information Technology Act, 2000 or two factor authentication (2FA) in which one of the factors shall be a One-Time Password (OTP) sent to the registered mobile number and email address.
- **Physical / offline:** wet signature (signature of witness shall not be required). However, if thumb impression (instead of wet signature) is affixed, then the same shall be witnessed by two persons and details of such witnesses (name and address) shall be duly provided in this form.

Witness :- 1

2.



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Website: www.integratedmaster.com; Email Id: compliance@integratedmaster.com

SCHEDULE OF CHARGES-DEPOSITORY SERVICES
NSDL: IN300724, IN301887, IN301063, IN302986, EFFECTIVE FROM 01.04.2025

1	Advance/Deposit	NIL
2	Account Maintenance	Rs. 325/- for individuals. Rs. 1000/- for Corporates (exclusive of NSDL charges) BSDA (Basic Service Demat Account) Opening Annual Maintenance Fee:-a) Case 1:- If the value in the Demat Account (Debt as well as other than debt securities combined) is upto Rs. 4,00,000/- then the AMC is NIL.b) Case 2:- If the value in the Demat Account (Debt as well as other than debt securities combined) is more than Rs. 4,00,000/- but upto Rs. 10,00,000/- then the AMC is Rs. 100/- c) Case 3:- If the value in the Demat Account (Debt as well as other than debt securities combined) more than Rs. 10,00,000/- then it is not fall under the BSDA and the AMC is may be levied as regular AMC. Transaction (Debit) 0.02% (Subject to minimum Rs. 50/-)
	NSDL charges	Rs. 500/- for Corporates
3	Demat	Rs. 50/- Request + Rs. 10 per Certificate
4	Remat	Rs. 25/- per 100 Securities or Part quantity or Rs. 25/- per Certificate whichever is higher, subject to maximum fee of ₹ 5,00,000 (exclusive of NSDL charges)
	DP	(a) Rs. 10/- for every hundred securities or part thereof subject to maximum fee of ₹ 5,00,000; or Flat fee of Rs. 10 per certificate, whichever is higher
	NSDL	Rematerialisation(no rematerialisation fee charged for Government Securities)
		a) ₹ 10/- for every hundred securities or part thereof subject to maximum fee of ₹ 5,00,000/-
		b) a flat fee of ₹ 10/- per certificate, whichever is higher
5	Transaction (Debit)	0.02% (Subject to minimum Rs. 16/- per ISIN) (exclusive of NSDL charges)
	NSDL	Rs. 4/- per instruction
6	Pledge & Margin Pledge Creation	0.02% (Subject to minimum Rs. 50/- per ISIN) (exclusive of NSDL charges)
	NSDL Pledge and Margin Pledge Charges	NSDL Pledge Rs. 25/- per instruction
		Margin Pledge Initiation from client account to TM ₹ 5/- per instruction
		Re-Pledge from TM account to CM account ₹ 1/- per instruction
		Re-Pledge from CM account to CC account ₹ 1/- per instruction
		Re-pledge release by CM to TM account ₹ 1/- per instruction
		Margin Pledge release by TM / CM to Client Account ₹ 5/- per instruction , Invocation by CM or TM
7	Pledge, Plede creation , confirmation, closure, & Margin Pledge Creation Confirmation	0.02% (Subject to minimum Rs. 50/- per ISIN) (exclusive of NSDL charges)
8	Pledge & Margin Pledge Closure	0.02% (Subject to minimum Rs. 50/- per ISIN) (exclusive of NSDL charges)
9	Pledge & Margin Pledge Closure Confirmation	0.02% (Subject to minimum Rs. 50/- per ISIN) (exclusive of NSDL charges)
10	Pledge & Margin Pledge Invocation	0.02% (Subject to minimum Rs. 50/- per ISIN) (exclusive of NSDL charges)
11	DIS& Failed Instruction Charges	Rs. 20/- per Instruction
12	Other Charges	Rs. 100/- per Instruction: Change in Nomination/Address/Bank Particulars/Transmission Charges Rs. 50/- per Instruction book Charges

Out of Pocket Expenses

• **GST : As Per Govt. Notified rate.** As per NSDL rules, the clients are required to submit the delivery instruction slips before 24 hour of the execution date. In case of delay, the delivery instruction slips will be accepted at clients' sole Risk and will be charged extra @ Rs. 20/- per delivery instruction in addition to the normal transaction charges. In case of foreign correspondence address, in addition to annual account maintenance charges, statement/communication charges @ Rs. 50/- per communication shall be charged extra. In case of non-payment of bill/dues within 30 days of due date interest shall be charged @ 2% per Month on the outstanding dues or Rs. 25/- whichever is higher. For CORPORATE Accounts, AMC. Rs.1500/- P.A. will be charged.

Signature of the Applicant(s)

FATCA & CRS Annexure for Individual Accounts

(Including Sole Proprietor) (Refer to instructions)
(Please consult your professional tax advisor for further guidance on your tax residency, if required)

First / Sole Applicant / Guardian

Name																
Gender	M	F	O	PAN						Occupation Type	Service	Business	Others			
Father's Name																
Client ID or Client Code:																
Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes																
Type of address given at KYC	☒	Residential or Business	☒	Residential	☒	Business	☒	Registered Office								
Permissible documents are	☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NREGA Job Card ☐ Others															
Date of Birth				Place of Birth												
Country of Birth																
Nationality																
Are you a tax resident of any country other than India?	Yes	☒	No	☒												

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country [#]	Tax Identification Number [*]	Identification Type (TIN or Other, please specify)

[#]To also include USA, where the individual is a citizen / green card holder of The USA

^{*}In case Tax Identification Number is not available, kindly provide its functional equivalent \$

Second applicant

Name																
Gender	M	F	O	PAN						Occupation Type	Service	Business	Others			
Father's Name																
Cust ID / Folio No.																
Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes																
Type of address given at KYC	☒	Residential or Business	☒	Residential	☒	Business	☒	Registered Office								
Permissible documents are	☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NREGA Job Card ☐ Others															
Date of Birth				Place of Birth												
Country of Birth																
Nationality																
Are you a tax resident of any country other than India?	Yes	☒	No	☒												

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country [#]	Tax Identification Number [*]	Identification Type (TIN or Other, please specify)

[#]To also include USA, where the individual is a citizen / green card holder of The USA

^{*}In case Tax Identification Number is not available, kindly provide its functional equivalent \$

Third applicant	
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[illegible]

Gender	M	F	O		PAN							Occupation Type	Service	Business	Others
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[illegible][illegible]

Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes

Type of address given at KYC	☒	Residential or Business	☒	Residential	☒	Business	☒	Registered Office
------------------------------	-------------------------------------	-------------------------	-------------------------------------	-------------	-------------------------------------	----------	-------------------------------------	-------------------

Permissible documents are ☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NREGA Job Card ☐ Others

[illegible][illegible][illegible]

Are you a tax resident of any country other than India? ☒ Yes ☒ No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country [#]	Tax Identification Number [%]	Identification Type (TIN or Other, please specify)
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Country [#]	Tax Identification Number [%]	Identification Type (TIN or Other, please specify)
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*To also include USA, where the individual is a citizen / green card holder of The USA

*In case Tax Identification Number is not available, kindly provide its functional equivalent \$

Certification	
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I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Signatures

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

Date	d	d	m	m	y	y	y	y
------	---	---	---	---	---	---	---	---

Place _____

FATCA & CRS Terms & Conditions

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with Integrated Master Securities Private Limited or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

SIGNED AND DELIVERED BY CLIENT

	NAME(S) OF HOLDER(S)	SIGNATURE(S)
Sole / First Holder		
Second Holder		
Third Holder		

For & on behalf of

Integrated Master Securities Pvt. Ltd.

(Depository Participant)

(Authorised Signatory)

Place :

Date :

(For & on behalf of the Integrated Master Securities Pvt. Ltd.)

Witness :

Signature : _____

Name : _____

Address : _____

(For & on behalf of the Client)

Witness :

Signature : _____

Name : _____

Address : _____

ACKNOWLEDGEMENT

Participant Name, Address & DP ID

Received the application from Mr./Mrs. _____ as the sole/first holder alongwith
_____ and _____ as the second and third holders respectively for
opening of a depository account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date :

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Participant Stamp & Signature